

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. &amp; Trademark Office

Attorney's Docket No.

23503

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

10/571476

INTERNATIONAL APP. NO.

PCT/EP2004/007911

INTERNATIONAL FILING DATE

16 July 2004

PRIORITY DATE CLAIMED

11 September 2003

TITLE OF INVENTION

METHOD FOR THE PRODUCTION OF ORGANIC CARBONATES

APPLICANT(S) FOR DO/EO/US

Henning BUCHOLD et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

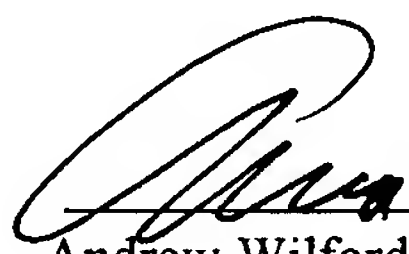
US Application no (if known) <b>10/571476</b>		International Application no. <b>PCT/EP2004/007911</b>		Attorney's Docket No. <b>23503</b>	
17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search report has been prepared by the EPO or JP ..... \$950.00  Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$750.00  No int'l prel. exam. fee paid to USPTO (37 CFR 1.482) but int'l search fee paid to USPTO (37 CFR 1.445(a)(2) ..... \$790.00  Neither int'l prel. exam fee (37 CFR 1.482) nor int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1000.00  Intl. prel. exam. fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$200.00  ENTER APPROPRIATE BASIC FEE AMOUNT				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130	
CLAIMS	NO. FILED	NO. EXTRA	RATE		
Total claims					
Ind. claims					
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)					
TOTAL OF ABOVE CALCULATIONS				\$130	
Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)				\$0	
SUBTOTAL				\$130	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$130	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)				\$40	
TOTAL FEES ENCLOSED				\$170	
11/06/2006 ATRAN1 00000095 10571476 01 FC:1617 130.00 OP				Amt to be refunded	
				Amt to be charged	

a. ☐ A check in the amount of \$130 to cover the above fees is enclosed  
b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.  
c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.  
d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed  
e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

**The Firm of Karl F. Ross P.C.**  
**5676 Riverdale Ave. Box 900**  
**Riverdale (Bronx), NY 10471**

  
Andrew Wilford, Reg. No. 26,597

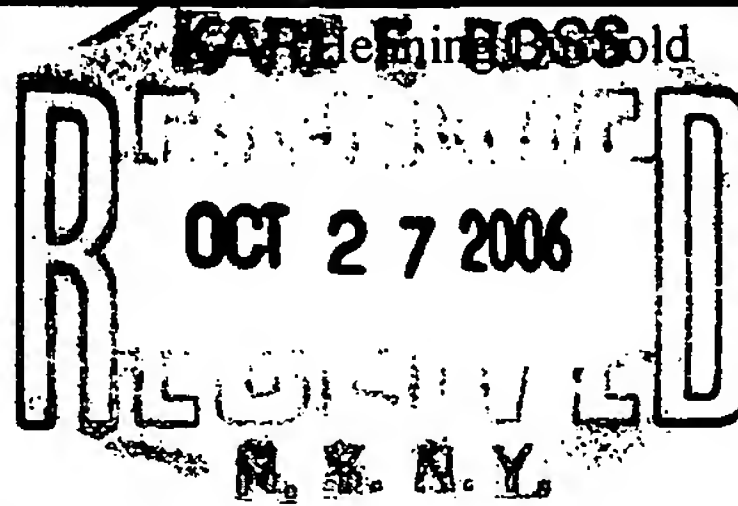


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/571,476	KARL F. ROSS	23503

535  
 THE FIRM OF KARL F ROSS  
 5676 RIVERDALE AVENUE  
 PO BOX 900  
 RIVERDALE (BRONX), NY 10471-0900



INTERNATIONAL APPLICATION NO.	
PCT/EP04/07911	
I.A. FILING DATE	PRIORITY DATE
07/16/2004	09/11/2003

CONFIRMATION NO. 6480

371 FORMALITIES LETTER



\*OC000000020936088\*

Date Mailed: 10/23/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/10/2006
- English Translation of the IA filed on 03/10/2006
- Copy of the International Search Report filed on 03/10/2006
- Copy of IPE Report filed on 03/10/2006
- Preliminary Amendments filed on 03/10/2006
- U.S. Basic National Fees filed on 03/10/2006
- Priority Documents filed on 03/10/2006
- Specification filed on 03/10/2006
- Claims filed on 03/10/2006
- Abstracts filed on 03/10/2006
- Drawings filed on 03/10/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

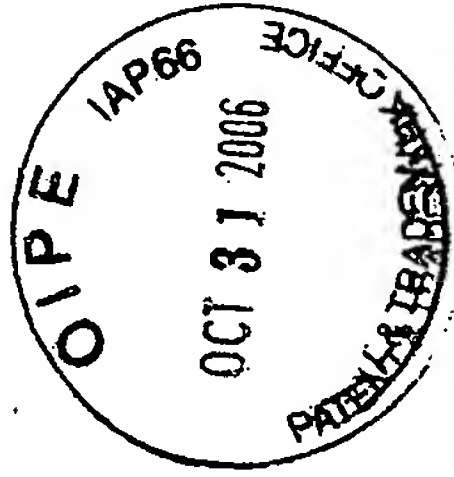
**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/571,476	PCT/EP04/07911	23503

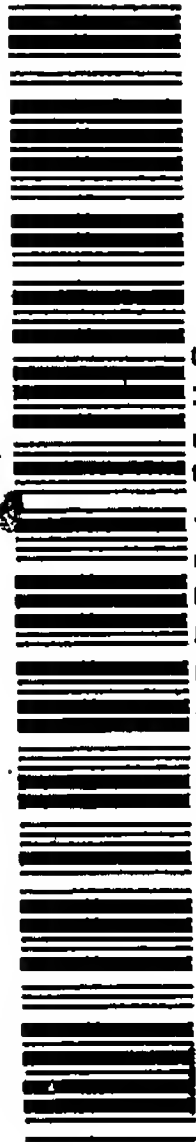
FORM PCT/DO/EO/905 (371 Formalities Notice)

**EXTRA URGENT**

Please Rush To Addressee



FOR PICKUP OR TRACKING CALL 1-800-222-1811



**Addressee Copy**  
Label 11-F, April 2004



UNITED STATES POSTAL SERVICE® Post Office To Addressee

ORIGIN (POSTAL SERVICE USE ONLY)			
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del Day	Postage \$	
Date Accepted	Scheduled Date of Delivery Month Day	Return Receipt Fee \$	
Mo. Day Year	Scheduled Time of Delivery <input type="checkbox"/> Noon <input type="checkbox"/> 3 PM	COD Fee \$	Insurance Fee \$
Time Accepted <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees \$	
Flat Rate <input type="checkbox"/> or Weight	Int'l Alpha Country Code	Acceptance Emp. Initials	
lbs. ozs.			

CUSTOMER USE ONLY

DELIVERY (POSTAL SERVICE USE ONLY)			
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	
Mo. Day	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature	

**NO DELIVERY** ☐ Weekend ☐ Day

**WAIVER OF SIGNATURE** (Domestic Mail Only) Additional postage and insurance charges will be assessed if a signature is required. If a signature is not required, the addressee or addressee's agent (if authorized) must sign this label. If a signature is required, the addressee or addressee's agent (if authorized) must sign this label. If a signature is not required, the addressee or addressee's agent (if authorized) must sign this label. If a signature is required, the addressee or addressee's agent (if authorized) must sign this label.

**FROM: (PLEASE PRINT)**

**PHONE:**

**TO: (PLEASE PRINT)**

**PHONE:**

**MAIL CENTER**

**OCT 31 2006**

**EXPRESS MAIL LABEL DATE IN**

FOR PICKUP OR TRACKING: Visit [www.usps.com](http://www.usps.com) or Call 1-800-222-1811